

1 We claim:

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3 1. An arrayed waveguide grating (AWG) disposed on a substrate,
4 comprising:

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6 an input slab with a plurality of inputs and a plurality of outputs,

7

8 an output slab with a plurality of inputs and a plurality of outputs

9 and

10 a plurality of waveguides coupled between the input slab and the output
11 slab, where each of the plurality of waveguides:

12 has a phase modulator in the optical path, and

13 has a predetermined optical path length difference with respect to an
14 adjacent waveguide, and

15 where each phase modulator has an input for receiving a control signal, and
16 the phase modulator modifies the phase of light propagating through it in
17 response to the received control signal.

18

19 2. An AWG according to claim 1, wherein there are at least three
20 waveguides coupled between the input slab and the output slab.

21

22 3. An AWG according to claim 1, and further comprising:

23

24 a controller with a first plurality of outputs,

25 where each of the first plurality of outputs is coupled to the control signal
26 input of a respective one of the plurality of phase modulators

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2 4. An AWG according to claim 3, and further comprising a memory
3 system coupled to the controller.

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5 5. An AWG according to claim 4, and further comprising:

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7 a plurality of photodetectors,

8 and

9 a first plurality of inputs to the controller,

10 where each of the plurality of photodetectors has an optical input and an
11 electrical output,

12 each optical input of the plurality of photodetectors is coupled to a

13 respective one of the plurality of outputs of the output slab,

14 each of the plurality of photodetectors generates an electrical signal at a

15 respective output in response to detected light and

16 each output of the plurality of photodetectors is coupled to a respective one
17 of the plurality of inputs to the controller.

18

19 6. An AWG according to claim 5, wherein the AWG, the controller, the
20 memory and the plurality of photodetectors are disposed on a substrate.

21

22 7. An AWG according to claim 5, and further comprising:

23 a plurality of temperature sensors, where each of the plurality of temperature
24 sensors has an output and each temperature sensor is in substantial thermal

25 proximity to the AWG,

26 and

1 a second plurality of inputs to the controller, where each of the second
2 plurality of inputs is coupled to a respective one of the plurality of
3 temperature sensors.

4
5 8. An AWG according to claim 7, and further comprising:
6 a plurality of heating elements, where each of the plurality of heating
7 elements has an input and each of the plurality of heating elements is in
8 substantial thermal proximity to the AWG,
9 and
10 a second plurality of outputs from the controller, where each of the second
11 plurality of outputs is coupled to a respective one of the plurality of heating
12 elements.

13
14 9. An AWG according to claim 8, wherein the AWG, the controller, the
15 memory system, the plurality of photodetectors, the plurality of temperature
16 sensors and the plurality of heating elements are disposed on a substrate.

17
18 10. An AWG according to claim 1, wherein each of the plurality of
19 waveguides has at least one curved section, where the at least one curved
20 section is substantially identical to a curved section in an adjacent
21 waveguide of the AWG.

22
23 11. An AWG according to claim 1, wherein the phase modulator is
24 selected from one of the following: a transistor, a PIN diode and a resistor.

12. An AWG according to claim 1, wherein at least one of the plurality of waveguides is selected from a group comprising: a strip loaded waveguide, a channel waveguide, a rib waveguide and a ridge waveguide.

13. The optical apparatus according to claim 12, wherein the strip loaded waveguide comprises a strip, a slab and a low index transition layer between the strip and the slab.

14. An AWG according to claim 1, wherein the substrate is selected from the group comprising: silicon, silicon on insulator (SOI), silicon on sapphire (SOS), silicon on nothing (SON) and

a first layer of monocrystalline silicon,
a second layer of dielectric material disposed on the first layer,
a third layer of monocrystalline silicon disposed on the second layer,
a fourth layer of dielectric material disposed on the third layer,
a fifth layer of monocrystalline silicon disposed on the fourth layer.

15. A system for phase error compensation of an AWG comprising:

a plurality of phase modulators, where each phase modulator has an input,
a controller, where the controller has a plurality of inputs and a plurality of outputs, and each of the plurality of outputs is coupled to an input of a respective one of the plurality of phase modulators,
and

1 a plurality of photodetectors, where each of the photodetectors is optically
2 coupled to a respective one of a plurality of outputs of the AWG, and
3 each of the photodetectors has an output coupled to a respective input of the
4 controller.

5
6 16. A system for phase error compensation of an AWG comprising:

7
8 a plurality of phase modulators, where each phase modulator has an input
9 and each phase modulator is in an optical path of a respective one of a
10 plurality of arrayed waveguides of the AWG,

11
12 a controller with an output, an input and a plurality of outputs,

13
14 a signal generator with an input and an output,

15
16 a light source of a selected frequency,

17
18 a modulator with an optical input, an optical output and a signal input,

19
20 a photodetector with an optical input and an electrical output,

21 and

22 a signal detector with an input and an output,

23
24 where:

25 the output of the controller is coupled to the input of the signal generator,

1 the output of the signal generator is coupled to the signal input of the
2 modulator,
3 the input of the modulator is coupled to the light source,
4 the output of the modulator is coupled to a selected one of a plurality of
5 inputs to the AWG,
6 the input of the photodetector is coupled to a selected one of a plurality of
7 outputs of the AWG,
8 the output of the photodetector is coupled to the input of the signal detector,
9 the output of the signal detector is coupled to the input of the controller,
10 and
11 each of the plurality of outputs of the controller is coupled to a respective
12 one of the plurality of phase modulators.

13

14 17. A method for adjusting the phase modulation of light propagating
15 through an optical path of a selected waveguide of an arrayed waveguide
16 grating (AWG), where the selected waveguide includes a phase modulator
17 in the optical path, comprising:

18

19 coupling a selected frequency of light to a selected input to the AWG,

20

21 measuring the power output level of light received at a selected output of
22 the AWG,

23 and

24 maximizing the power output level of light at the selected output of the
25 AWG, by adjusting the amount of phase modulation in the selected

1 waveguide by sending a control signal to the phase modulator in the
2 selected waveguide.

3

4 18. The method of claim 17, further including storing the adjustment to
5 the phase modulator in a memory system.

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7 19. The method of claim 17, further including maintaining the AWG at a
8 selected temperature.

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10 20. The method of claim 17, further comprising modulating the selected
11 frequency of light coupled to the selected channel of the AWG.

12

13 21. A method for adjusting the phase modulation of light propagating
14 through an optical path of a selected channel of an AWG, where each of an
15 array of waveguides in the AWG includes a phase modulator in the optical
16 path, comprising the steps of:

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18 coupling a selected frequency of light to the selected channel of the AWG,

19

20 measuring the power output level of light received from the selected

21 channel of the AWG,

22 and

23 maximizing the power output level of light received from the selected

24 channel of the AWG,

25 by selecting a phase modulator in the optical path of the selected channel

26 and

1 adjusting the amount of phase modulation in the optical path of the selected
2 waveguide by sending a control signal to the selected phase modulator.

3
4 22. The method of claim 21, further including storing the adjustment to
5 the phase modulator in a memory system.

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7 23. The method of claim 21, further including maintaining the AWG at a
8 selected temperature.

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10 24. The method of claim 21, further comprising modulating the selected
11 frequency of light coupled to the selected channel of the AWG.

12
13 25. A method for designing the physical layout of a plurality of arrayed
14 waveguides of an AWG comprising:

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16 designing a curved section of a first waveguide of the plurality of arrayed
17 waveguides to substantially identical to a curved section of a second
18 waveguide adjacent to the first waveguide of the plurality of arrayed
19 waveguides

20 and

21 designing each of a plurality of straight sections of each of the plurality of
22 arrayed waveguides by aligning each straight section parallel with the x and
23 y axes generated by integrated circuit layout software, where the x and y
24 axes are the reference axes which determine the placement of integrated
25 circuit electrical pathways parallel to the reference axes.

1 26. A method for shaping the passband of a selected channel of an AWG,
2 comprising the steps of:

3
4 determining a desired shape for the passband of the selected channel,

5
6 determining the amount of deviation from the desired shape for the
7 passband of the selected channel,

8 and

9 adjusting the shape of the passband of the selected channel by adjusting the
10 phase modulation of at least one of the plurality of waveguides of the AWG.

11
12 27. A method for selecting the output port of an AWG for light of a given
13 wavelength, where each of an array of waveguides in the AWG includes one
14 of a plurality of phase modulator in the optical path, comprising:

15
16 designing the AWG with a selected center channel wavelength with a
17 designed output channel and channel spacing for a selected temperature
18 range,

19
20 sending a control signal to at least one of the plurality of phase modulators
21 to select an adjacent output channel for light of a selected wavelength,
22 where the new output channel is different from the designed output channel.

23
24 28. The method of claim 27, where by changing the output port for light of a
25 selected wavelength to an adjacent output port, the output ports for light of
26 other wavelengths are also shifted accordingly.

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2 29. The method of claim 28, where the AWG is designed with a free
3 spectral range that is exactly equal to the number of channels multiplied by
4 the channel spacing.

5

6 30. The method of claim 29, where light of a selected wavelength is always
7 routed to one of the outputs of the AWG, further comprising:

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9 monitoring the temperature of the AWG chip

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11 sending a control signal to each of the plurality of phase modulators to shift
12 the entire comb of output port peak wavelengths, where the comb of output
13 port peak wavelengths matches with the comb of signals at the temperature
14 measured,

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16 sending the information regarding which physical channels the desired
17 signals are output on, to the rest of the optical integrated circuit.

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